JC10 Rec'd PCT/PT0 1 5 ATC-1590/(RU) 02-2005)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY 'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES MNM/001 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICA (KRPW) 500 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/032812 October 16, 2002 October 16, 2003 TITLE OF INVENTION <u>SPLEEN TYROSINE KINASE CATALYTIC DOMAIN: CRYSTAL STRUCTURE AND BINDING POCKETS THEREOF</u> APPLICANT(S) FOR DO/EO/US David Williams et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. l An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. M A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

20. Other items or information: see attached page for list of other items and information

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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2003/032812 MNM/001 The following fees are submitted: CALCULATIONS **PTO USE** ONLY 21. Basic national fee.....\$300 300.00 22. 🔯 If International preliminary examination report prepared by USPTO and at aims satisfy provisions of 100.00 All other situations.....\$200 23. 🔯 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office......\$400 100.00 All other situations.....\$500 TOTAL OF 21, 22 and 23 = 500.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra sheets RATE thereof (round up to a whole number) 235 -100 = 135*1*50 = \$250 750.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). NUMBER FILED CLAIMS NUMBER EXTRA RATE \$ 42 22 Total daims -20 = X \$50 1,100.00 8 Independent claims -3 = 15 Х \$200 1,000.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 360.00 **TOTAL OF ABOVE CALCULATIONS** 3710.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 3710.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest daimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE** 3710.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED 3710.00 Amount to be \$ refunded: Amount to be \$ charged:  $\boxtimes$ A check in the amount of \$3710.00 to cover the above fees is enclosed. in the amount of \$ \_\_\_\_ to cover the above fees. b. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.  $\boxtimes$ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1075. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Michele A. Kucher Michele A. Kercher (Agent for Applicant) Fish & Neave IP Group Michele A. Kercher Ropes & Grav LLP NAME 1251 Avenue of the Americas 51.869

New York, New York 10020-1105

REGISTRATION NUMBER

#### 20 Continued:

Item 6: No English translation is required; original application was filed in English

Item 9: Declaration and Power of Attorney is Unexecuted

Item 17: Paper Copy of Sequence Listing and Statement Accompanying Sequence Listing also included

Item 20: Other Items or Information Included:

Copy of Amendment under Article 34 made during the International Phase

Docket No. MNM/001

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

David H. Williams et al.

Application No.

Not yet assigned

Confirmation No.:

Not yet assigned

Filed

Concurrently herewith

For

SPLEEN TYROSINE KINASE CATALYTIC DOMAIN:

CRYSTAL STRUCTURE AND BINDING POCKETS

**THEREOF** 

Group Art Unit

Not yet assigned

Examiner

Not yet assigned

### **EXPRESS MAIL CERTIFICATION**

Express Mail number EV 615580815 US

Date of Deposit: April 15, 2005

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I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to MAILSTOP PCT - Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

## **Enclosures:**

- 1. Transmittal Letter (in duplicate);
- 2. Unexecuted Declaration and Power of Attorney;
- 3. Preliminary Amendment;
- 4. Application Data Sheet;
- 5. Copy of Computer-readable form of Sequence listing filed with International Application, Paper Copy of Sequence Listing and Statement Accompanying Sequence Listing;
- 6. Copy of Amendment under Article 34 made during International Phase;
- 7. Express Mail Certification; and
- Check in the amount of \$3,170. $\frac{00}{}$ . 8.